

Applicants for grant assistance must provide responses to the categorical evaluation criteria AND the Administrative Priorities in their grant proposals. Both sets of evaluation criteria and the Historic Preservation Fund (HPF) grant program guidelines are being provided for public review and comment. Please provide any comments to the DHPA Grants Staff by Thursday, April 21, 2011. All public comments received will be presented at the meeting of the State Historic Preservation Review Board on April 27, 2011.

All public comments must be received in writing. Send comments by fax to 317-232-0693, by e-mail to skennedy@dnr.IN.gov, or by mail to DHPA Grants Staff, 402 W. Washington St., Room W274, Indianapolis, IN 46204.

FY2012 ARCHAEOLOGY PRIORITIES

(Proposed changes are indicated in **bold type** or ~~strikethrough~~)

Instructions: Provide complete but concise answers for each of the priority statements below. Please be as specific as possible in your answers, and explain exactly how the proposed project will meet the priority issues. Most projects will adequately address one or more priorities, while only partially addressing others, and will be scored accordingly. No project will address every priority statement. When a proposed project does not address a specific priority, mark "NA" as the response.

FEDERAL REQUIREMENTS FOR ARCHAEOLOGY PROJECTS:

Eligible Activities:

- Reconnaissance Survey (Phase I-a: "archival research and a field visit to determine the identity and location of resources present in an area. Such surveys should be designed so that a determination can be made from the results as to when it is worthwhile to obtain the additional level of documentation (through an Intensive Level Survey) necessary for a National Register nomination").
- Intensive Survey (Phase I-b and Phase I-c: "the systematic, detailed field (and archival) inspection of an area designed to identify fully architectural, archaeological, and historic properties; and calculated to produce a level of documentation sufficient, without any further data, to evaluate National Register eligibility").
- Resurvey Activities **ONLY** to modify documented boundaries, identify resources not included in the property's previous eligibility determination, establish a property's relationship with other resources as part of historic context development, or upgrade existing inventory data.
- Limited Testing (Phase II) **ONLY** "to the extent needed to collect sufficient information to identify the resource and to assess National Register eligibility."

NOTE: Research and Excavation beyond limited testing is eligible **ONLY** when it:

- a) Directly addresses the Secretary of the Interior's Standards for Identification,
- b) Directly contributes to increasing an understanding of a National Register property or its evaluation/documentation,
- c) Directly contributes to the preservation of a National Register site.

Ineligible Activities:

- Any archaeological activities that do not meet the eligibility criteria described above;
- Curation of archaeological collections beyond the project end date;
- Exhibition of archaeological collections beyond the project end date;
- Mitigation activities (Phase III).

FUNDING RATIOS

Phase I Survey Activities: 70% federal share / 30% local match

- ONLY projects that are exclusively Phase I survey with NO Phase II activities are eligible to be funded at the 70/30 ratio.

Phase II Site Investigation and Testing Activities: 50% federal share / 50% local match

- Projects that include ANY combination of both Phase I survey and Phase II testing will ONLY be funded at the 50/50 ratio.
- Projects that are solely Phase II testing will be funded at the 50/50 ratio.

ARCHAEOLOGY: Survey, Testing, and Historic Contexts

(Max. funding request \$50,000 per proposal)

Max.

Score: Priority will be given to:

- | | |
|--------|---|
| 20 pts | 1. Projects that will involve reconnaissance and/or intensive survey (Phase I) of areas that will be impacted by imminent, specific, near-future development, redevelopment, or construction projects, or projects that will survey areas that have already been damaged by development, construction, erosion, flooding, or other forces. <i>Describe the specific development or construction projects and activities that are planned, or the types of damage that have already occurred, and explain the predicted or actual affect on the area and its resources.</i> |
| 16 pts | 2. Projects that will involve reconnaissance and/or intensive survey (Phase I) of areas with data deficiencies specifically targeted by the State (refer to the “Archaeological Goals and Objectives, Part I”). <i>Describe the area that will be surveyed, the data deficiencies, and the cultural manifestation(s) that will be investigated. Note: Survey areas located totally within any of the regions identified in “Part I: Topical / Geographical Target Areas” will receive up to 16 points; survey areas located partially within any of these high priority regions will receive a maximum of 10 points.</i> |
| 16 pts | 3. Projects that involve reconnaissance or intensive survey (Phase I) activities over a large area. <i>Provide the number of acres to be surveyed, a description of the area and its topography (for example: farm fields, forests, watercourses, rockshelters, etc.), and an estimate of the number of sites to be recorded. Projects with a survey area of 50 to 99 acres may receive up to 4 points; projects with a survey area of 100 to 249 acres may receive up to 8 points; projects with a survey area of 250-499 may receive up to 14 points; and projects with a survey area of 500 acres or more may receive up to 16 points. The DHPA will assess and score projects based on survey area and topography considerations.</i> |
| 16 pts | 4. Projects that will include reconnaissance or intensive survey (Phase I) activities related to cultural periods and topics identified in the “Archaeological Goals and Objectives: Part II.” <i>Describe the cultural period or topic that is the primary focus PRIMARY FOCUS of the project and identify the corresponding level of priority based on the Archaeological Goals and Objectives: Part II. Although it is likely that other cultural periods and topics will be encountered and peripherally explored, this criterion addresses the <u>primary focus</u> of the project. Answers that indicate a combination of High-Middle-Low topics will generally result in a lower overall score. Only list multiple topics if the primary focus of the project truly covers multiple topics. Note: Project topics covered by “Part II-1: Higher Priority Topics” will receive up to 16 points, while topics only partially pertaining to those listed in Part II-1 will receive fewer points. Project topics covered by “Part II-2: Middle Priority Topics” will receive a maximum of 10 points, while topics only partially pertaining to those listed in Part II-2 will receive fewer points. Project topics covered by “Part II-3: Lower Priority Topics” will receive a maximum of 6 points. while topics Topics only</i> |

partially pertaining to those listed in Part II-1, -2, and -3 will receive fewer points. A project topic that overlaps any of these areas may not result in a score higher than ~~44~~ 12 points.

- | | |
|--------|--|
| 20 pts | 5. Projects that will conduct Phase I or Phase II activities for threatened resources and/or data that are potentially eligible for, have been formally determined eligible for, or are already listed in the National Register of Historic Places. <i>Describe the threats to the resources, the methodology for proposed activities, and how the project will address the threats to the resources and/or data. State whether the resources are listed, determined eligible, or potentially eligible for listing in the National Register. If not currently listed, describe how they may be eligible for the National Register. Note that projects that involve Phase II or a combination of Phase I and Phase II activities are only eligible for 50/50 grant reimbursement.</i> |
| 16 pts | 6. Projects whose primary purpose is the reconnaissance or intensive survey (Phase I), the limited testing (Phase II), or a combination of Phase I and Phase II activities AND the National Register nomination, of one of the DHPA-identified "Special Topic Sites." <i>Note that written permission MUST be obtained and submitted as part of the grant proposal for ALL landowners with control over the site(s) to be investigated. A curatorial agreement MUST also be submitted as part of the grant proposal. Without guaranteed landowner permission and a curatorial agreement, the application will not be considered complete and the DHPA may not consider the proposal. Please be advised that if landowners request alternate artifact curation, the DHPA must be notified of the agreement and may require additional analyses during the grant project in coordination with the DHPA. Survey activity at a Special Topic Site is only eligible for 50/50 grant reimbursement. If the Special Topic Site is not currently listed in the National Register, the project MUST include nomination of the site unless the site is determined to be ineligible for listing. Phase II investigation of sites not on the Special Topic Sites list will receive a maximum of 4 points; preparation of a National Register nomination is required, if the site is determined to be eligible for listing.</i> |
| 16 pts | 7. Projects that will assist in the resolution of data inconsistencies or discrepancies (such as multiple site numbers and locations for a single site) in current site information in SHAARD, or that will field verify sites in the DHPA's records which were reported by avocational archaeologists, as the primary focus of a project or as a component of a larger project. <i>Explain the methodology that will be used to identify and address data inconsistencies or discrepancies. Estimate the number of sites or records that will be reviewed and/or corrected as a result of the project.</i> |
| 12 pts | 8. Projects that include a component to promote public awareness and knowledge of archaeology in Indiana through the creation or implementation of specific public education components. <i>These may include: public education materials such as pamphlets and brochures, videos and slide shows to be deposited at public institutions for public viewing and use, modules and materials for use by educators, a scheduled series of public presentations, projects specifically geared toward work with avocational groups and volunteers, and conferences and workshops. Describe the education component in detail, explain how it will promote public awareness and knowledge of archaeology in Indiana, and identify the target audience(s). Public education components that will coincide with Indiana Archaeology Month (September) and will be registered with the DHPA's Archaeology Outreach Coordinator will receive up to 12 points. Public education components that will not coincide with Indiana Archaeology Month will receive a maximum of 6 points.</i> |
| 8 pts | 9. Projects that will study minority-related resources of the historic period (1816 to 1960 1961). <i>Describe the historic minority- or disadvantaged-related resources that will be included in the project, explain how the resource qualifies as minority- or disadvantaged-related (in terms of ethnic background, language, culture, religion, socio-economic conditions, gender) of the period, and justify why they were chosen for inclusion.</i> |
| 20 pts | 10. Projects that will make an original or unique problem-oriented contribution to the body of archaeological knowledge of Indiana. <i>Describe the problem(s), hypotheses, methodology, and/or</i> |

theoretical orientation of the project, and explain how the project will contribute to archaeological knowledge in Indiana.

- 20 pts 11. Projects that will assist the DHPA in carrying out its responsibilities dealing with ~~environmental review and compliance~~ **Section 106 and state statute** issues and other mandated duties. Examples of projects that meet this priority include large-scale surveys and, to a much lesser extent, limited testing of Special Topic Sites. *This priority is for the DHPA to evaluate and does not require an answer.*

180 Points Possible

ARCHAEOLOGICAL GOALS AND OBJECTIVES

The items listed below reflect Indiana's topical/geographical, cultural period, and topic needs as identified by the Division of Historic Preservation and Archaeology.

All Archaeology ~~Subcategory I~~ projects should seek to broaden the state's historic and prehistoric archaeological database and answer questions critical to understanding of past human behavior and life ways. Consequently, the HPF Archaeology Category is keyed directly to prioritized topical / geographical target areas that are critically important to address with this round of funding. ~~Archaeology Subcategory II projects will be evaluated based on the number and quality of the proposed National Register nominations that will be produced or the quality or scope of the proposed educational project.~~

The Topical / Geographical Target Areas, noted below in Part I, indicate those areas within the state for which we (a) have less in the way of either an historic or prehistoric database, and (b) are subject to some form of land-use impact (natural and/or mineral resource extraction, metropolitan and/or industrial development, natural impacts, and detrimental agricultural impacts) that may affect the known cultural resource database and/or remove those resources that are yet to be discovered.

The prioritized Cultural Periods and Topics, noted below in Part II, reflect deficiencies in the state's database that may affect the state's performance in preservation planning, development of the state archaeological inventory, archaeological property evaluations, and the review of state and federal projects. Therefore, such projects are important to the continued development of Indiana's historic preservation and archaeology program.

PART I: Topical / Geographical Target Areas

All of the geographic areas noted below require reconnaissance level surveys to locate, identify, and evaluate sites related to the entire prehistoric cultural sequence and that of the subsequent Euro-American occupations.

Priority Areas:

- a. Areas along major water courses that have not been previously surveyed, have a high probability for site locations, and are threatened by development, mining or other mineral extraction, flooding, and erosion.
- b. Unsurveyed areas with a high probability for locating archaeological sites in the following counties: Floyd, Scott, Jasper, Benton, Fulton, Pulaski, Newton, Blackford, Starke, Montgomery, Fountain, Ripley, and Washington.
- c. Areas along major transportation corridors, such as Interstate Highways, with the potential for significant development.
- d. Unsurveyed areas in the following rapidly developing counties: Clark, Dearborn, Elkhart, Hamilton, Hancock, Hendricks, Johnson, Lake, LaPorte, Marion, Monroe, Porter, Vanderburgh, and Vigo.
- e. Special Topics Section: mound surveys in counties not already inventoried, sand ridges in Northern Indiana, glacial lakes in Northern Indiana, and uninvestigated rockshelter resources. High priority consideration will also be accorded for the evaluation of specific threatened sites targeted by the DHPA (refer to the attached list of sites entitled "Special Topic Sites").

PART II: Cultural Periods and Topics

1. Higher Priority Topics:

- a. Vincennes Culture, Western Basin Tradition in northeastern Indiana, Huber-Fisher-Langford Tradition in northwestern Indiana, Fort Ancient in southeastern Indiana (particularly early Fort Ancient), Late Archaic

in the region north of the Wabash River, Riverton-Maples Mills in southeastern Indiana, Crab Orchard, Havana, Late Prehistoric groups in central and south-central Indiana.

- b. Allison-LaMotte culture, Newtown, Intrusive Mound, Walkerton, Early Woodland (non-Adena).
- c. Pioneer period and early settlement in Indiana, historic farmsteads and homesteads, early Euro-American settlements and towns, transportation related sites, industrial and urban sites, schools, military-related resources.

2. Middle Priority Topics:

- a. Goodall, Adena-Hopewell habitation
- b. Mann, Crab Orchard
- c. Bluegrass-French Lick
- d. Early Archaic, Middle Archaic
- e. Historic Native Americans

3. Lower Priority Topics:

- a. Middle Mississippian
- b. Late Archaic, Terminal Archaic
- c. Marine cultural resources
- d. Oliver
- e. Albee
- f. Paleoindian
- g. All other cultural traditions

SPECIAL TOPIC SITES

~~For Subcategory I, Phase II investigation projects:~~

Please note: Survey activity at a Special Topics Site is only eligible for the 50/50 grant reimbursement funding ratio. Also, if the Special Topics Site is not currently listed in the National Register, the project MUST include preparation of a nomination for the site (~~if~~ **unless** the site is determined **not** to be eligible in the course of the grant-assisted survey and investigation).

Swan's Landing Site (12Hr304)
Harrison County
Camp, lithic manufacturing site
*National Register listed site

Breeden Site (12Hr11)
Harrison County
Shell midden

Site 12Hr12
Harrison County
Shell midden

Kramer Site (12-Al-15)
Allen County
Village, circular enclosure

Mann Site (12Po2)
Posey County
Village, earthworks complex
*National Register listed site

Bertsch Site (12Wy45/46)
Wayne County
Mound, earthwork

Graves Enclosure Site (12Wy39)
Wayne County
Mound, earthwork

Little Pigeon Creek Site (12W340)
Warrick County
Village

All Seasons Site (12Mi225)
Miami County
Habitation Site

Cedar Bluff Rockshelter Site (12Mn72)
Martin County
Rockshelter

Reid Site (12F11)
Floyd County
Shell mound/midden

Cummings Mound Site (12D514)
Dearborn County
Mound

Site 12D486
Dearborn County
Habitation site

Yankeetown Site (12W1)
Warrick County
Village
*National Register listed site

Site 12Sp7
Spencer County
Multi-component habitation site

Alton archaeological site (12Pe171)
Perry County
Habitation

Cicott Trading Post site (12Wa59)
Warren County
Habitation

Kethtippecanunck (12T59/530)
Tippecanoe County
Habitation

12Ma08 Site
Marion County
Village